

# South West Pinnacle

ISO 9001 : 2015 Certified Company

**South West Pinnacle Exploration Limited**

*(formerly known as South West Pinnacle Exploration Pvt. Ltd.)*

**CIN No.:** U13203HR2006PLC049480

**Regd. & Corp. Office:**

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

**(T)** :+ 91 124 4235400/01/03

**(F)** : + 91 124 4235402

**(E)** : [info@southwestpinnacle.com](mailto:info@southwestpinnacle.com)

**(W)**: [www.southwestpinnacle.com](http://www.southwestpinnacle.com)

**Date:** 10/12/2018

To,  
The Manager  
Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East), Mumbai-400051

**Scrip Code:** SOUTHWEST SERIES: SM

**Subject:** Disclosure pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir,

This is to inform you that our company has received disclosure in Form C under Regulation 7 (2) (a) of SEBI (Prohibition of Insider Trading) Regulations, 2015 dated 06/12/2018 from Mr. Vikas Jain, Promoter & Managing Director of the Company of the Company, for market purchase of equity shares of the company by them.

Therefore, pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the copy of above said disclosures.

This is for your information and record.

Thanking you,  
Yours faithfully,

**For South West Pinnacle Exploration Limited**

  
**Arjun Sharma**  
Company Secretary & Compliance Officer



**Date: 06/12/2018**

To,  
The Compliance Officer  
South West Pinnacle Exploration Limited  
Siddhartha House, 4th floor,  
Plot No.6, Sector-44, Gurgaon HR 122003

**Scrip Code: SOUTHWEST Series: SM**

**Subject : Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir,

I wish to inform you that I have acquired 33,600 equity shares of South West Pinnacle Exploration Limited from 10/10/2018 to 04/12/2018 being 0.24% of total paid up equity share capital of the Company.

In accordance with the provisions of Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,  
Yours faithfully,



**Vikas Jain**  
**Promoter & Managing Director**  
**DIN: 00049217**

FORM C

SEBI (Prohibition of Insider Trading ) Regulations, 2015

[Regulation 7(2) read with Regulation 6(2) - Continual Disclosure]

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED  
 ISIN of the Company : INE980Y01015

**Details of Change in holding of Securities of Promoter , Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoters/KMP/Directors/immediate relative to/others etc.)	Securities held prior to acquisition		Securities acquired				Securities held post acquisition		Date of acquisition of shares		Date of intimation to company	Mode of acquisition (on market/public rights/preferential offer/ off market /Inter-se transfer, ESOPs etc.)
		Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.	Value (In Rs.)	Transaction Type (Buy/Sale/PI edge/Revoked/Invoke)	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	From	to		
1 Mr. Vikas Jain, PAN No: ACUPJ1235E , DIN: 00049217, Address: A-4, Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	2 Promoter & Managing Director	3 Shares	4 No. of shares is 38,98,000 and percentage of shares is 27.94%	5 Shares	6 33,600	7 14,79,834	8 Buy	9 Shares	10 No. of shares is 39,31,600 and percentage of shares is 28.18%	11 10/10/2018	12 04/12/2018	13 06/12/2018	14 On market

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading ) Regulations, 2015



Details of trading in derivatives of the company by Promoter, Employee or Director of a Listed company and other such persons as mentioned in Regulation 6 (2)

Type of Contract	Contract Specifications	Trading in derivatives (Specify type of contract, Futures or Options etc)				Exchange on which the trade was executed
		Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Signature : 

Designation : Promoter & Managing Director  
(VIKAS JAIN)

Date : 06/12/2018  
Place : Gurugram